# Two Types of Paratactic Word-Order Variation

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"All movements go too far."

— Bertrand Russell

## -1 Historical Background

There have historically been two types of approaches to "movement," one typified by GB, in which movement was always possible ("move- $\alpha$ ") unless prohibited (filters, ECP, etc.); and the other typified by Minimalism, in which movement is possible only when motivated (feature checking, Greed, etc.).

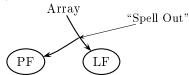
Traditionally, there have been two types of languages, "configurational," in which GB and Minimalism accord well with observed word order, and "non-configurational," in which neither theory accords well with observed word order.

But configurationality doesn't always match-up with "freer word order," (Hale 1989), and in any case, a theory that bifurcates languages seems undesirable.

And in fact, it seems that all languages exhibit two types of word-order variation: syntactic (or "Movement") and paratactic.

## 0 Theoretical Background

#### (1) General Architecture



The PF level interfaces with phonology, and the LF level with "interpretation," whatever that might turn out to mean.

#### (2) Word order:

- (a) Overt movement of X to Y occurs only if X and Y enter into a checking relation, that is, checking "strong" features. (Chomsky 1995)<sup>1</sup> ("Greed" and "Procrastinate.")
- (b) More economic movement prohibits less economic movement.
- (c) Asymmetric C-command among constituents orders those constituents (Kayne 1993).
- (d) Every element must be ordered (Kayne).

I reject (d).

#### 1 Data

#### (3) Russian:

(a) John videl svoju mašinu John saw self's car 'John saw his (own) car.'

<sup>&</sup>lt;sup>1</sup>Chomsky leaves open the possibility that, when X moves to Y via Z, the movement to Z need not be motivated by strong features, even though the final movement to Y must be.

- (b) videl svoju mašinu John saw self's car John
- (c) svoju videl John mašinu self's saw John car
- (d) svoju mašinu videl John self's car saw John
- (e) John svoju mašinu videl John self's car saw
- $\begin{array}{ccccc} (f) & John & svoju & videl & mašinu \\ & John & self's & saw & car \end{array}$
- (g) mašinu videl John svoju car saw John self's
- $\begin{array}{cccc} \text{(h)} & \text{ma} \\ \text{sinu} & \text{videl} & \text{svoju} & \text{John} \\ & \text{car} & \text{saw} & \text{self's} & \text{John} \\ etc. \end{array}$

## (4) Latin (Horace, *Odes* 1.22):

- (a) Namque mē silvā lupus in Sabīnā dum meam cantō Lalagēn et ultrā terminum cūrīs vagor expedītīs fūgit inermem.
- (b) For me-Acc forest-Abl wolf-Nom in Sabine-Abl while my-Acc I-was-serenading Lalage-Acc and beyond [the] border cares-Abl I-was-wandering set-free-Abl avoids unarmed-Acc
- (c) For the wolf in the Sabine forest avoids me [in my state of being] unarmed [or "an unarmed me"] while I was serenading my Lalage and wandering beyond the border care-free [lit: cares set-free]
- (d) For  $me_i$  [forest<sub>l</sub> wolf in  $t_l$  Sabine] [[while  $my_k$  I-was-serenading [ $t_k$  Lalage]] and [beyond the border  $cares_j$  I-was-wandering [ $t_j$  set-free]]]<sub>m</sub> avoids  $t_i$  unarmed  $t_m$ .

#### (5) More Russian:

- (a) ja svojevo dumaju što ty videla brata I self's-ACC think that you saw brother 'I think that you saw your/\*my (own) brother'
- (b) ja svojevo videl brata I self's-ACC saw brother 'I saw my (own) brother.'

#### (6) English:

- (a) Often John has kissed Mary.
- (b) John often has kissed Mary.
- (c) John has often kissed Mary.
- (d) \*John has kissed often Mary.
- (e) John has kissed Mary often.

#### (7) French:

- (a) Souvent Jean a embrassé Marie often Jean has kissed Marie
- (b) \*Jean souvent a embrassé Marie Jean often has kissed Marie
- (c) Jean a souvent embrassé Marie Jean has often kissed Marie
- (d) Jean a embrassé souvent Marie Jean has kissed often Marie
- (e) Jean a embrassé Marie souvent Jean has kissed Marie often

Examples (3-7) demonstrate **m-scrambling**, and (6) and (7) demonstrate **a-scrambling**.

## 2 M-scrambling

M-scrambling ("mobile" scrambling) obtains when two (or more) sub-trees of a sentence do not merge into one single-rooted tree before Spell-Out:

- (8) If  $\alpha$  does not check strong features on  $\beta$ , and  $\beta$  does not check strong features on  $\alpha$ ,  $\alpha$  and  $\beta$  cannot merge until after Spell-Out. If one checks weak features on the other, they must merge after Spell-Out.
- (9) If  $\alpha$  and  $\beta$  are sent to PF un-merged,  $\alpha$  may appear before or after  $\beta$  (other conditions notwithstanding), but neither may intervene within the other.

#### 2.1 DP's

Cross-linguistically, it seems that those languages that do not have either a definite or indefinite determiner can break up a DP, as in Latin, Russian, Polish, ASL(?) and others:

(10) maju ja videl sestru (Russian) my I saw sister 'I saw my sister'

This is impossible in English, French, Spanish, Hebrew, etc:

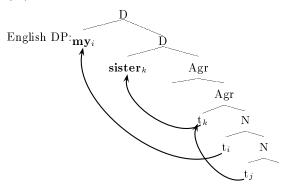
(11)\*\*My I saw sister.

Russian DP:

D
Agr
Agr
N
maja
N
Sestra

(Dashed lines indicate covert movement.)





#### 2.2 PP's

Nothing can come between a Russian P and it's object:

(14) (a) maja kniga na stale my book on table 'My book is on a/the table'

- (c) kniga na stale maja book on table my

The object of a Russian P cannot m-scramble:

- (15) (a) na stale on table 'on a/the table'
  - (b) \*stale na table on
  - $\begin{array}{cccc} (c) & u & menja & knigi \\ & \textit{chez} & me & books \\ & \text{`I have books.'} \end{array}$

- (d) knigi u menja books *chez* me
- $\begin{array}{cccc} \text{(e)} & *\mathbf{u} & \text{knigi} & \text{menja} \\ & chez & \text{books} & \text{me} \end{array}$

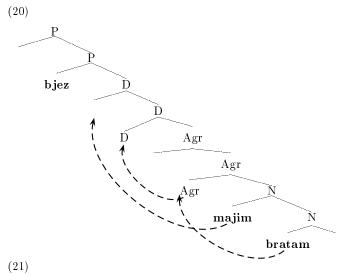
But this is not because of considerations of Case:

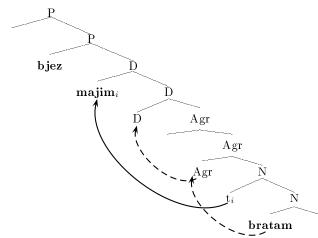
- (16) (a) u menja nyet knig  $\begin{array}{cccc} chez & me & no & books-Gen \\ \text{`I have no books.'} \end{array}$

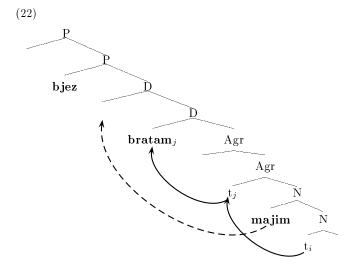
If the P's object has more than one word, part (but not all) of the object can m-scramble:

- (17) silvā lupus in Sabīnā forest wolf in Sabine '[a] wolf in the Sabine forest'
- (18) (a) bjez majim bratam without my brother 'without my brother'
  - (b) majim bjez bratam my without brother
  - (c) bratam bjez majim brother without my
  - $\begin{array}{ccc} (\mathrm{d}) & \mathrm{bjez} & \mathrm{bratam} & \mathrm{majim} \\ & \mathrm{without} & \mathrm{brother} & \mathrm{my} \end{array}$
  - (e) \*majim bratam bjez my brother without

But this is not for phonological reasons:







## 2.3 Scope of m-scrambling

This m-scrambling is not limited to a CP, and can cross barriers, as expected:

- (23) (a) ja svojevo dumaju što ty videla I self's-ACC think that you saw brata brother 'I think that you saw your/\*my (own) brother'
  - (b) ja brata dumaju što ty videla svojevo I brother think that you saw self's 'I think that you saw your (own) brother'
  - (c) svojevo brata ja ne znaju pocemu  ${\rm self's}$ brother I Neg know why videl ty ne Neg saw 'I don't know why you didn't see your brother.'

- (d) svojevo brata mnje interesna pocemu self's brother to-me interests why Sasha ne videl Neg Sasha saw 'I wonder why Sasha didn't see his (own) brother.'
- (e) ?svojevo interesna mnje pocemu Sasha self's to-me interests why Sasha Neg videl brata brother saw 'I wonder why Sasha didn't see his (own) brother.'
- (f) ničevo ja znaju počemu on ne videl nothing I know why he Neg see 'I know why he didn't see anything.'

#### 3 Reconstruction

"Reconstruction" in regard to m-scrambling is not construction anew, but simply post-Spell-Out construction.

#### 3.1 Criteria

- (24) Three criteria for reconstruction:
  - (a) Binding.
  - (b) Negative Polarity Items.
  - (c) Idioms.

## 3.2 Binding

- (25) English:
  - (a) %Himself<sub>i</sub> he<sub>i</sub> saw.
  - (b) %Him<sub>i</sub> he<sub>i</sub> saw.
- (26) Russian:
  - (a) brata<sub>i</sub> svojevo<sub>\*i/j</sub> videl John<sub>j</sub> brother self's saw John 'John saw his own brother'

(b)  $*yevo_i$  brata  $Sasha_i$  videl his brother Sasha saw ' $Sasha_i$  saw his $_i$  brother.'

#### (27) Spanish:

- (a) vio Pedro su auto saw Pedro his car 'Pedro; saw his; car.'
- (b) \*su auto vio Pedro his car saw Pedro 'Pedro saw his  $_{*i/j}$  car'

#### (28) Hebrew:

- (a) Dani ra'a et acmo
  Dani saw Acc himself
  'Dani saw himself.'
- (b) Dani et acmo ra'a Dani Acc himself saw
- (c) et acmo Dani ra'a Acc himself Dani saw
- $\begin{array}{cccc} (\mathrm{d}) & \mathrm{et} & \mathrm{acmo} & \mathrm{ra'a} & \mathrm{Dani} \\ & \mathrm{Acc} & \mathrm{himself} & \mathrm{saw} & \mathrm{Dani} \\ etc. \end{array}$

#### 3.3 NPI's

#### (29) Russian:

- (a) Ivan ničevo ne videl Ivan nothing Neg saw 'Ivan didn't see anything.'
- (b) Ivan ne videl ničevo Ivan Neg saw nothing
- (c) ničevo Ivan ne videl nothing Ivan Neg saw

- (d) ničevo ne videl Ivan nothing Neg saw Ivan
- (e) ne videl Ivan ničevo Neg saw Ivan nothing
- $\begin{array}{cccc} (f) & ne & videl & ni\check{c}evo & Ivan \\ & Neg & saw & nothing & Ivan \end{array}$
- (g) \*Ivan ničevo videl Ivan nothing saw

## (30) English:

- (a) John didn't see anything.
- (b) \*Anything John didn't see.
- (c) \*John anything didn't see. etc.

#### (31) Hebrew:

- (a) Dani lo ra'a af-exad Dani Neg saw no-one 'Dani didn't see anyone.'
- (b) \*Dani ra'a af-exad Dani saw no-one
- (c) af-exad Dani lo ra'a no-one Dani Neg saw
- (d) ?af-exad lo ra'a Dani no-one Neg saw Dani
- (e) af-exad lo ra'u Dani v'Sara no-one Neg saw Dani and Sara 'Dani and Sara din't see anyone'

etc.

#### 3.4 Idioms

(32) Russian:

- (a) Ivan zakusil udila Ivan bit mouth 'Ivan was/became stubborn'
- (b) \*udila byli zakušeny Ivanom mouth was bitten by Ivan 'Ivan became stubborn.'
- $\begin{array}{cccc} (c) & udila & Ivan & zakusil \\ & mouth & Ivan & bit \end{array}$
- (d) zakusil Ivan udila bit Ivan mouth

etc.

- (e) Ivan nalomal drov Ivan broke logs 'Ivan make a lot of mistakes.'
- (f) \*drova nalomany im logs were-broken by-him 'A lot of mistakes were made by him.'
- (g) drov nalomal Ivan logs broke Ivan
- (h) Ivan drov nalomal Ivan logs broke

etc.

#### (33) English:

- (a) \*The road we hit. ( $\neq$  We hit the road)
- (b) \*The bucket he kicked (≠ He kicked the bucket.)
- (c) \*The coop he flew ( $\neq$  He flew the coop) etc.

#### (34) Hebrew:

 $\begin{array}{ccccc} (a) & \text{ha-xevra} & \text{pa\"sta} & \text{et} & \text{ha-regel} \\ & \text{the-company} & \text{simplified} & \text{Acc} & \text{the-foot} \\ & \text{`The company went bankrupt.'} \end{array}$ 

- (b) \*ha-regel nif'š'ta al yade ha-xevra the-foot was-simplified by the-company 'The company went bankrupt.'
- (c) et ha-regel ha-xevra pašta Acc the-foot the-company simplified
- (d) et ha-regel pašta ha-xevra Acc the-foot simplified the-company etc.

## 4 M-scrambling in English

- (35) (a) I saw Bill lying in the sun.
  - (b) \*[Lying in the sun]<sub>i</sub> I saw  $Bill_i$ .
- (36) (a) [Lying in the  $\sup_i$  I saw  $Bill_i$  reading a book.
  - (b) [Reading a book] $_i$  I saw Bill $_i$  lying in the sun.
- (37) (a) John saw Bill reading a book about himself.
  - (b) \*Reading a book about himself, John saw Bill,.
  - (c) John saw Bill lying in the sun reading a book about himself.
  - (d) [Reading a book about  $himself_i$ ]<sub>i</sub>  $John_j$  saw  $Bill_i$  lying in the sun.
  - (e) [Reading a book about  $himself_j$ ]<sub>j</sub>  $John_j$  saw  $Bill_i$  lying in the sun.
- (38) (a) [Often criticized for their unreliability,]<sub>i</sub> the New York Times startled many readers by praising the two flamboyant researchers<sub>i</sub>.
  - (b) [Unable to fly,] $_i$  many people wonder why Penguins $_i$  are considered birds.
  - (c) The zoologist explained why although [unable to fly,] $_i$  people would err to consider Penguins $_i$  anything but birds.

- (d) [Unable to publish material formally,] $_i$  the Internet has made it possible for rank amateurs $_i$  nonetheless to reach wide audiences with their insipid ideas.
- (e) Many rank amateurs think that [living in their ivory towers]<sub>i</sub> the media devotes too much attention to professors<sub>i</sub> and their publiciations.
- (39) (a) \*Who did the New York Times startle many readers by praising?
  - (b) \*Who/What do many people wonder why are considered birds?
  - (c) \*The zoologist explained why Penguins<sub>i</sub> people would err to consider  $t_i$  anything but birds.
  - (d) \*Who<sub>i</sub> has the Internet made it possible for i nonetheless to reach wide audiences with their insipid dieas.
  - (e) \*Many rank amateurs think that professors<sub>i</sub> the media devotes too much attention to  $t_i$  and their publications.

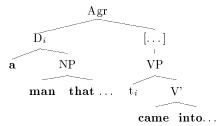
## 5 A-scrambling

A-scrambling ("adjunct" scrambling) obtains when two phrases fail to enter into an asymetric c-command (ac-command) relation.

- (40) If  $\alpha$  and  $\beta$  do not enter into an ac-command relation, they are sent to PF unordered.
- (41) An element  $\alpha$  of tree T may appear anywhere in T provided that it does not violate ordering constraints and that it does not violate adjacency constraints.
- (42) Elements  $\alpha$  and  $\beta$  must be adjacent if they enter into a strong-feature-checking relation.
- (43) If  $\alpha$  or a phrase containing  $\alpha$  ac-commands  $\beta$  or a phrase containing  $\beta$ , then  $\alpha$  must appear linearly before  $\beta$  at PF.

#### 5.1 Extraposition

"A man that no one knew came into the room." (44)



Using the notation  $\mathrm{acc}(\alpha,\beta)$  to indicate that  $\alpha$  ac-commands  $\beta$ , we find that  $\mathrm{acc}(\mathrm{a,man})$ ,  $\mathrm{acc}(\mathrm{a,that...})$ ,  $\mathrm{acc}(\mathrm{man,that...})$ ,  $\mathrm{acc}(\mathrm{man,came})$ ,  $\mathrm{acc}(\mathrm{came,into})$ . So a must precede man, which must precede came, which in turn precedes into, yielding, "A man came into." However,  $the\ phrase$  "that..." is not ordered w.r.t. "into the room:"

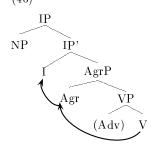
- (45) (a) A man that no one knew came into the room.
  - (b) A man came into the room that no one knew.

(This is contra Culicover & Rochemont (1990), who argue for a movement approach to extraposition.)

### 5.2 Adverb placement

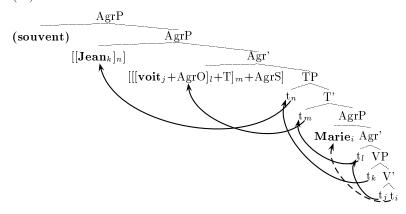
French (Pollock 1989):

(46)



French (Chomsky (1995)-ish):

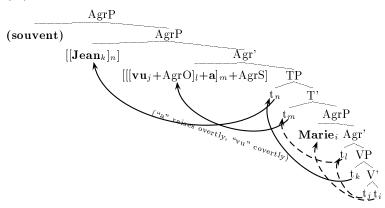
(47)



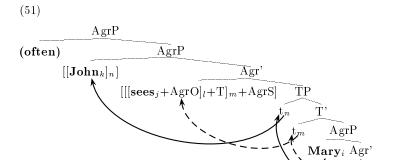
(48) (souvent) Jean (\*souvent) voit (souvent) Marie (souvent)

French:

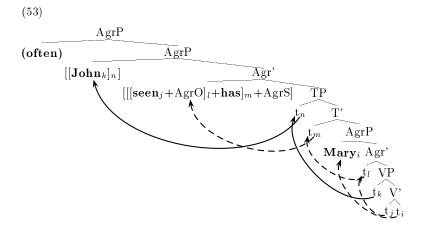
(49)



(50) (souvent) Jean (\*souvent) a (souvent) vu (souvent) Marie (souvent)



(52) (Often) John (often) sees (\*often) Mary



(54) (Often) John (often) has (often) seen (\*often) Mary

## 5.3 Adverb Interpretation

The actual attachment site, rather than the location at PF, determines interpretation:

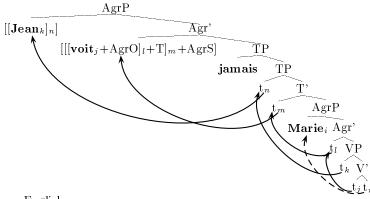
(55) (a) Happily Mary saw John.

- (b) Mary happily saw John. (ambiguous)
- (c) \*Mary saw happily John.
- (d) Mary saw John happily. (ambiguous)
- (56) (heureusement) Marie (\*heureusement) a (heureusement) vu (heureusement) Jean (heureusement).

#### Negation — another solution 5.4

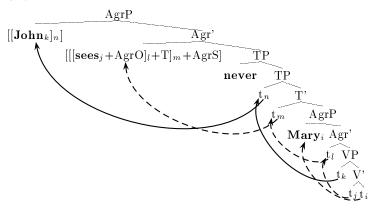
Perhaps negation adjoins to TP? French:

(57)



English:

(58)



### 5.5 More adjuncts

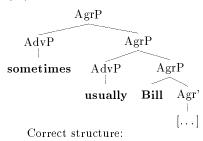
- (59) Bill didn't go outside becuase it was raining.
- (60) (a) The reason Bill didn't go outside was that it was raining.
  - (b) That Bill went outside because it was raining is not true.
- (61) (a) Not all items available in all stores.
  - (b) Not all items available in some stores.

#### 5.6 Scope among adjuncts

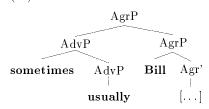
- (62) (a) Bill sometimes usually buys semi-sweet chocolate.
  - (b) Bill usually sometimes buys semi-sweet chocolate.
- (63) (a) It is sometimes the case that Bill usually buys semisweet chocolate.
  - (b) It is usually the case that Bill sometimes buys semisweet chocolate.

Impossible structure:

(64)



(65)



## 6 Summary

Some word-order variation differs from classical "movement" in the following ways:

- 1. The apparent movement must "reconstruct" (as determined by binding, NPI's and idiom interpretation).
- 2. The apparent movement need not be syntactically motivated, contra movement criteria in Minimalism, and is not constrained by filters such as the ECP, contra GB.
- 3. The apparent movement need not obey island constraints.
- 4. The apparent movement correlates with (so far) either weak feature checking (m-scrambling) or lack of ac-command (a-scrambling).

Based on this evidence, a dual approach to word-order variation, in which some variation results from classical movement, and some variation from a failure of the syntax to order words, seems desirable. M-scrambling and a-scrambling are two instances of this paratactic word-order variation.

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